



# Transfer Authorization Form / Account Re-Registration Form

For transfer between Thornburg accounts only.

If you have questions about this form, please call us at 800.847.0200.

Mail form to: Thornburg, PO Box 219017, Kansas City, MO 64121

Or overnight to: Thornburg, 330 W. 9th Street, Kansas City, MO 64105

## 1. Current Account Information

Account Registration		Account Number(s)	
Address		Email Address	
City	State	Zip	Social Security Number or TIN
Phone		Alternate Phone	

## 2. Reason for Transfer

If a reason is not provided, this transfer will default to transfer due to gift.

Death (inheritance) Date of Death: \_\_\_\_\_ (REQUIRED) Alternate Date: \_\_\_\_\_ Alternate Value \$ \_\_\_\_\_ \*

\* There is a special rule under the estate tax that allows the executor/personal representative of the estate to elect a different valuation date in certain cases. If the estate qualifies for this election, and the executor makes the election, the valuation date is six months after the date of death. The principal reason for making this election is to reduce the amount of estate tax that must be paid. The election also has an effect on income tax, because it means you will now use the later date to determine your basis.

**For Joint Account Holders Only**, please consider the following for purposes of determining Cost Basis on covered shares. If the reason for the transfer is due to Death (inheritance), please indicate if your relationship here:

Spouse  Non-Spouse, please indicate the percentage of joint property contributed by the decedent: \_\_\_\_\_ %\*\*

\*\* If no percentage is indicated, the shares will be transferred as 100% non-covered on non-spousal accounts.

Gift Date of Gift: \_\_\_\_\_

Fair Market Value Acceptance: \_\_\_\_\_  
(Signature of new owner)

\* If the recipient's existing account or new account will use the Average Cost accounting method, they must sign above indicating acceptance of the shares valued at fair market value on the date of gift or settlement if the shares should be transferred at a loss.

Change of Trustee

Custodial Account where former minor has reached the age of majority

Other (Please Specify) \_\_\_\_\_

### 3. Transfer Instructions

Please transfer:  All Shares  \_\_\_\_\_ Shares

- Re-register to existing Thornburg Account \_\_\_\_\_
- Re-register to new Thornburg Account (please attach a completed account application)
- Re-register and liquidate immediately (please sign and attach IRS Form W-9, complete Section 5 below, and provide your Date of Birth here \_\_\_\_\_)

Special instructions:

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### 4. Authorization

If you are acting on behalf of the owner, please indicate the capacity in which you are acting (REQUIRED):

- Attorney-in-fact/Power of Attorney
- Custodian/Responsible Individual
- Executor (trix)
- Former Minor
- Surviving Tenant
- Trustee
- Transfer on Death Beneficiary
- Other (please specify): \_\_\_\_\_

### 5. Redemption Instructions

Please complete this section if you wish to redeem shares once they have been re-registered.

- Please send check to AOR on new account
- Please send proceeds via wire to this bank account (or attach a voided check): \_\_\_\_\_
- Please send check to this address: \_\_\_\_\_
- Please send proceeds via ACH to this bank account (or attach a voided check): \_\_\_\_\_

### 6. Signature(s):

_____ Signature	_____ Date	_____ Signature	_____ Date
_____ Signature	_____ Date	_____ Signature	_____ Date

### 7. Medallion Signature Guarantee

A Medallion Signature Guarantee may be executed by any eligible guarantor: Commercial Banks, Trust Companies, Registered Broker Dealers. A Notary Public is NOT an eligible guarantor.

Affix Guarantee Here