

# Transfer / Registration Change

## For transfer between Thornburg accounts only.

If you have questions about this form, please call us at 800.847.0200.

Mail form to: Thornburg, PO Box 219017, Kansas City, MO 64121

Overnight to: Thornburg, 801 Pennsylvania Ave, Suite 219017, Kansas City, MO 64105

## 1. Current Account Information

Account Registration	Account Number(s)
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Address		
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City	State	Zip
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### Requester Contact Information

Name	Phone	Email
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## 2. Reason for Transfer

If a reason is not provided, this transfer will default to transfer due to gift.

### ☐ Death (inheritance)

Date of Death: \_\_\_\_\_

Alternate Date: \_\_\_\_\_ Alternate Value: \$ \_\_\_\_\_ \*

\* For more information regarding alternate valuation and if this estate tax rule applies to you, please contact a tax advisor.

### Relationship (For Joint Account Holders)

If the reason for the transfer is due to Death (inheritance), please indicate if your relationship:

☐ **Non-Spouse** Indicate the percentage of joint property contributed by the decedent. If no percentage is indicated, the shares will be transferred as 100% non-covered shares on non-spousal accounts. \_\_\_\_\_ %

☐ **Spouse** We will treat the account as equally owned and step up the cost basis for 50% of the assets. If you live in a community property state, the cost basis will be stepped up to 100% unless you check the box below.

☐ I decline a step up in cost basis to 100% of the account. My account will be treated as equally owned and only 50% of the account will be stepped up.

☐ **Gift** Date of Gift: \_\_\_\_\_

Fair Market Value Acceptance: \_\_\_\_\_  
(Signature of new owner) \*

\* If the recipient's existing account or new account will use the Average Cost accounting method, they must sign above indicating acceptance of the shares valued at fair market value on the date of gift or settlement if the shares should be transferred at a loss.

Change of Trustee

Divorce/Separation

Other \_\_\_\_\_

### 3. Transfer Instructions

Please transfer: ☐ All Shares ☐ \_\_\_\_\_ Shares

☐ Re-register to existing Thornburg Account \_\_\_\_\_

☐ Re-register to new Thornburg Account (Attach a completed account application)

☐ Re-register and liquidate immediately (Sign and attach IRS Form W-9, complete Section 5 below, and provide your Date of Birth here \_\_\_\_\_)

Special instructions:

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### 4. Authorization

If you are acting on behalf of the owner, please indicate the capacity in which you are acting (REQUIRED):

☐ Attorney-in-fact/Power of Attorney

☐ Trustee

☐ Custodian/Responsible Individual

☐ Transfer on Death Beneficiary

☐ Executor

☐ Other \_\_\_\_\_

☐ Surviving Tenant

### 5. Redemption Instructions

Complete this section if you wish to redeem shares once they have been re-registered.

☐ Mail check to payee/address below:

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☐ Send proceeds to bank account (Check method below. If ACH or wire is not selected, the proceeds will be sent with ACH).

☐ ACH

☐ WIRE

Bank Name

Account Registration

☐ Checking

☐ Savings

Account Number

Routing Number

6. Signature(s):

Signature \_\_\_\_\_ Date \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

7. Medallion Signature Guarantee

A Medallion Signature Guarantee may be executed by any eligible guarantor: Commercial Banks, Trust Companies, Registered Broker Dealers. A Notary Public is NOT an eligible guarantor.

Affix Guarantee Here